



receipt

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Daniel E.H. Afar et al. Examiner: Not yet assigned
Serial No.: 09/697,206 Group Art Unit: 1645
Filed: October 26, 2000 Docket: G&C 129.21-US-U1
Title: NOVEL GENE UPREGULATED IN CANCERS OF THE PROSTATE

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on January 16, 2001.

By: W. J. Wood
Name: William J. Wood

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- ☒ Request for Corrected Filing Receipt and Copy of Filing Receipt.
- ☒ Return postcard.

Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers, if appropriate.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

Customer Number 22462.

GATES & COOPER LLP
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

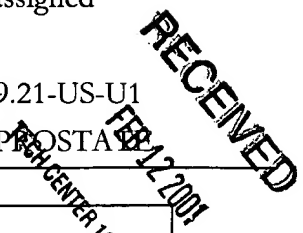
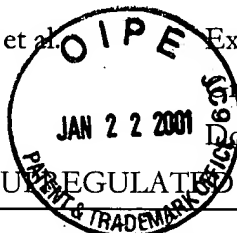
By: W. J. Wood
Name: William J. Wood
Reg. No.: 42,236
WJW/sjm

(PTO TRANSMITTAL - GENERAL)

Receipt
#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Daniel E.H. Afar et al. Examiner: Not yet assigned
Serial No.: 09/697,206 Group Art Unit: 1645
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CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on January 18, 2001.

By: W. J. Wood
Name: William J. Wood

REQUEST FOR CORRECTED FILING RECEIPT

Attn: Office of Initial Patent Examination
Customer Service Center
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed is a photocopy of the filing receipt from the U.S. Patent and Trademark Office in the above-identified application showing requested corrections. The filing receipt is erroneous in the following respects as reflected in the papers originally filed on October 26, 2000.

The requested correction is shown in **Bold** as follows:

Under the heading "Applicant(s)":

Daniel E.H. Afar, Brisbane, CA;
Arthur B. Raitano, Los Angeles, CA;
Rene S. Hubert, Los Angeles, CA;
Steve **Chappell** Mitchell, Santa Monica, CA;
Aya Jakobovits, Beverly Hills, CA.

Correction of the records of the U.S. Patent and Trademark Office and issuance of a corrected filing receipt are respectfully solicited.

Respectfully submitted,

Daniel E.H. Afar et al.

By their attorneys,

GATES & COOPER LLP
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: January 16, 2001

By: W. J. Wood
Name: William J. Wood
Reg. No.: 42,236

WJW/sjm



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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09/697,206	10/26/2000	1645	882	G&C 129.21-US- U1	13		11
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22462
GATES AND COOPER
HOWARD HUGHES CENTER
6701 CENTER DRIVE WEST SUITE 1050
LOS ANGELES, CA 90045



FILING RECEIPT



OC000000005649005

Date Mailed: 01/02/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Daniel E. H. Afar, Brisbane, CA ;
Arthur B. Raitano, Los Angeles, CA ;
Rene S. Hubert, Los Angeles, CA ;
Steve Chappell Mitchell, Santa Monica, CA ;
Aya Jakobovits, Beverly Hills, CA ;

CHAPPELL

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/162,364 10/28/1999

Foreign Applications

If Required, Foreign Filing License Granted 01/02/2001

** SMALL ENTITY **

Title

Novel gene upregulated in cancers of the prostate

Preliminary Class

435

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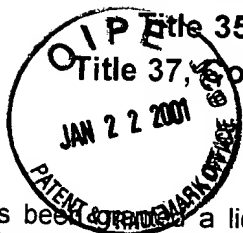
* **Data entry by : THOMAS, SHEILA**

Team : OIPE

Date: 01/02/2001

LICENSE FOR FOREIGN FILING UNDER

35, United States Cod , Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15



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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

